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PTO/SB/21 (09-06)

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TRANSMITTAL FORM		Application Number		10/593,399	
(to be used for all correspondence after initial filing)		Filing Date		September 19, 2006	
		First Named Inventor		Roberto Pozzati	
		Art Unit		3753	
		Examiner Name		John Bastianelli	
Total Number of Pages in This Submission		Attorney Docket Number		18545US00	
ENCLOSURES (check all that apply)					
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Remarks					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Individual Name	McAndrews Held & Malloy, Ltd.				
Name (Print/type)	Jonathan M. Rushman	Registration No. (Attorney/Agent)		55,870	
Signature				Date: February 1, 2008	
EXPRESS MAIL DEPOSIT					
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Date of Deposit February 1, 2008.					

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Sir: Please place the USPTO receipt stamp hereon and place in outgoing mail to acknowledge receipt of:

Docket No.: 18545US00
 Applicant: Roberto Pozzati
 Serial No.: 10/593,399 Conf. No.: 9306
 Filing Date: September 19, 2006

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☐ Patent Application Including:
 ☐ _____ Page(s) Cover Sheet
 ☐ _____ Page(s) Specification
 ☐ _____ Page(s) Drawings
☐ Response to Notice of Missing Parts _____ pp
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 Attorneys for Applicant



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**UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 18545US00 (Formerly P4422))**

In the Application of:

Roberto Pozzati

Serial No. 10/593,399

Filed: September 19, 2006

For: METAL VALVE
STEM SEAL AND
SEALING SYSTEM

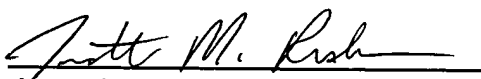
Examiner: BASTIANELLI, JOHN

Group Art Unit: 3753

Confirmation No. 9306

**CERTIFICATE OF
TRANSMISSION**

I hereby certify that this
correspondence is being
transmitted to the USPTO via
Express Mail, No.
EV304937878US, on
February 1, 2008.


Jonathan M. Rushman
Registration No. 55,870

Customer No. 23,446

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Applicant submits herewith form PTO/SB/08a, which lists the
cited reference(s).

Applicant submits herewith the Office Action dated January 2,
2008 that was issued for U.S. patent application no. 11/743,451
entitled "Metal Valve Stem And Sealing System", which was filed in

Attorney Docket No. 18545US00 (Formerly P4422)


the name of Roberto Pozzati, the same inventor named in the present application. The Office Action cites references listed on form PTO/SB/08a submitted herewith.

Applicant also submits herewith the International Preliminary Report on Patentability (dated May 8, 2007) and the International Search Report and Written Opinion (dated June 9, 2005) for the PCT/International application No. PCT/US2004/36827, from which the present application claims continuation status. The International Search Report cites references listed on form PTO/SB/08a submitted herewith.

This Information Disclosure Statement is being submitted before receipt of a first Office Action on the merits of the application.

Please charge any fees incurred in connection with this submission to Deposit Account No. 13-0017 in the name of McAndrews, Held & Malloy, Ltd.

Respectfully submitted,


Jonathan M. Rushman
Registration No. 55,870

February 1, 2008

Date

Attorney Docket No. 18545US00 (Formerly P4422)

Robert W. Fieseler
Registration No. 31,826
McANDREWS, HELD & MALLOY, LTD.
500 West Madison Street, 34th Floor
Chicago, Illinois 60661

Telephone: (312) 775-8000
Facsimile: (312) 775-8100

FEB 01 2008

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10593399	
Filing Date		2006-09-19	
First Named Inventor	Roberto Pozzati		
Art Unit	3753		
Examiner Name	John Bastianelli		
Attorney Docket Number	18545US00		

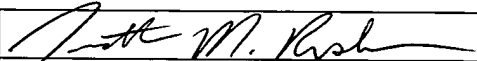
CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

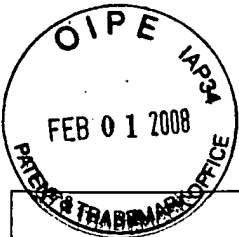
- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).
- OR**
- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).
- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2008-02-01
Name/Print	Jonathan M. Rushman	Registration Number	55870

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**



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5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.